PROCURING A PATENT

Patents and the University
by Margaret Sanders
A Good Report
Engineering Program Rated in U.S. News “Best Colleges” Survey

Baylor University’s engineering program climbed impressively to rank as the nation’s 14th best in the 2005 edition of America’s Best Colleges, compiled by U.S. News & World Report. This is up five spots over last year when Baylor’s program was ranked 19th, and an increase of 12 places over 2001, when Baylor ranked 26th in the prestigious U.S. News listing of undergraduate engineering programs without doctoral programs.

Baylor is ranked highest of the four Texas schools included in the survey, which is confined to 145 programs accredited by the Accreditation Board for Engineering and Technology. Baylor is tied at 14th nationwide with five other schools.

“I am very pleased that we have moved up five places in the rankings,” said Dr. Benjamin S. Kelley, dean of the School of Engineering and Computer Science and professor of engineering. “It is an excellent recognition of our accomplishments and a fine tribute to the initiative of our faculty, students and staff. We are looking forward to even greater accomplishments and to continued national recognition of our faculty and students.”

U.S. News says its engineering school rankings are based solely on the judgments of deans and senior faculty who rated each program they are familiar with on a scale from 1 (marginal) to 5 (distinguished). Baylor’s undergraduate engineering program garnered a peer assessment score of 3.2, up from a score of 3.1 last year.

The U.S. News rankings can be found at www.USNews.com.
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If you are an inventor who dreams of patenting your new invention and instantly gaining fame and fortune, think again. Prepare yourself for a long, expensive and often frustrating journey that may or may not lead to that coveted prize. No matter how ingenious your idea may be, it may not be patentable. It must not only be unique, but must also be useful and “non-obvious, something that someone skilled in the art wouldn’t have thought of,” said Dr. Randall Jean, associate professor of engineering. Earning the right to be named the original inventor is appealing enough that the patent office receives approximately 300,000 applications every year and has issued more than six million patents since 1790.

Imagine that you could drop a prescription off at the pharmacy and use a machine in the waiting area not only to monitor your blood pressure, but also to check your blood sugar – without having to draw a drop of blood. That may one day be available, said Jean, who is conducting research using microwave energy to measure the volume of sugar relative to other blood components.

The concept is an adaptation of a process Jean – along with his partners at Rhino Analytics of Round Rock – developed for the paper-making industry before coming to Baylor. That application sends low levels of microwave energy through a wave guide, measuring the frequency response of the wood pulp and water that blend to make paper. The firm has a patent pending on the process and will have sole rights to licensing and marketing the invention.

Jean, who taught at Texas A&M University before founding the engineering firm, came to Baylor in 2003. An ad in the Institute of Electrical and Electronic Engineering’s magazine Spectrum seeking a professor with academic and industrial experience caught his eye. “When I read ‘active Christian commitment,’ I knew this was where I needed to be,” he said.

He is one of several Baylor engineering professors who hold patents. Another, Distinguished Professor of Engineering and graduate director Robert Marks, also joined the faculty in 2003. While teaching at the University of Washington, he developed three patents in the field of artificial neural networks and signal processing. One found a more efficient way to compute the Fourier transform, the mathematical process that converts functions of time into frequencies. His second patent is for a method of digitizing volume holograms, an extremely efficient method of storing information. His most recent patent is for optical memory systems that allow neural networks to complete required feedback at the speed of light, greatly improving efficiency and reliability.

If Dr. Truell Hyde, vice provost for research, has his way, the number of Baylor faculty and staff who develop new patents will grow, and those who already hold patents will work with the University to seek licensing rights and marketing opportunities. As Baylor’s representative to federal agencies and industrial groups, Hyde seeks out external funding opportunities for research projects that may develop into new ideas, processes or technologies. When that happens, an array of resources is available to help in the research, patenting and marketing processes.
“Our job in this office is to protect and serve the faculty and staff in their research efforts,” said Hyde. “Getting a patent is neither easy nor cheap,” he said, “and most faculty and staff really don’t have the resources – lawyers, licensing research, etc. – or the interest to pursue a patent or the licensing.” Plus, professor Jean added that procuring a patent can often take as long as two years and cost more than $50,000, and barely one percent of patent applications actually make it that far.

Obtaining a patent does not automatically translate into profits or fame, however. In fact, the inventor’s work has just begun. “You can conceivably patent anything, but even if it is unique, the key is discovering if it is something that people might want to buy,” Hyde said. Often more than 90 percent of a patent’s value comes through licensing and marketing after the patent is issued, making it important to follow through on the process. But those steps often prove more time consuming and expensive than actually procuring the patent.

“That’s where our office can probably help faculty and staff the most,” Hyde said. “If an idea appears to be a moneymaker, we will work with the faculty member to determine a licensing opportunity. We will act as the faculty’s surrogate, investigating the idea’s marketability, pursuing the patent and identifying possible licensing opportunities.”

Baylor’s new intellectual property policy – nearing the final stages – is the product of five years of work by a 15-member faculty committee. It outlines rights and responsibilities of employee inventors and the University. The committee researched similar policies at other universities and industry to draft the document, which was then reviewed by the offices of the vice provost for research, the office of general counsel and an external firm specializing in patent law and licensing. “What we have is good and tight, with no legal loopholes,” Hyde said, “and more importantly, it does a good job of protecting the faculty and addressing their concerns.”

Finding a way to use technology to help people is all part of what engineers do, professor Jean says.

“Engineers solve problems. We have to be well grounded in fundamental science because those are the laws we have to obey, but we have to figure out how to design a process or an instrument to do some useful function. We answer the big whys and hows.”

He’s been searching for those kinds of answers as long as he can remember. “When I was a kid, I took all my toys apart,” he said. “I didn’t realize it then, but that was how God made me, how I was engineered. It was more fun for me to see how the toy was put together and how it worked than to actually play with it.”

Like Jean, Marks admits to a lifelong interest in discovering the how and why behind the laws of the universe. As an undergraduate, he considered math and chemistry before choosing the electrical engineering major that opened so many new worlds. He came to Baylor after 26 years at the University of Washington, attracted by an engineering research environment that demonstrates the consistency between intellectual pursuit and Christian faith and encourages both – a concept reflected throughout history.

“Newton, Pascal and other scientists were Christians who were motivated by the fact that God was the lawgiver and the realization that the things around us must have laws associated with them,” Marks said. “That was the foundation of modern science in Europe. They wanted to find out what He created and learn more about it. It’s like getting to know a great painter by looking at his paintings.

“That’s what engineers and physicists do. We look at the painting God’s given us and we go, ‘Wow! This is really beautiful stuff!’”
FACULTY NEWS

WALTER L. BRADLEY and Steven W. Bradley, “Increasing Retention by Incorporating Time Management and Study Skills into a Freshman Engineering Course.” Baylor University, Success 4 Students.


John Seng and GREG HAMERLY, “Exploring perception-based register value prediction.” In the second Value-Prediction and Value-Based Optimization Workshop, October 2004.


